

Share Holding Pattern – October 2024

General information about company	
Scrip code	543227
NSE Symbol	HAPPSTMNDS
MSEI Symbol	NOTLISTED
ISIN	INE419U01012
Name of the company	HAPPIEST MINDS TECHNOLOGIES LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2024
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Share Holding Pattern – October 2024

Declaration					
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter - Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	Yes		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

Share Holding Pattern – October 2024

Table VI - Statement showing foreign ownership limits

Particular	Approved limits (%)	Limits utilized (%)
As on shareholding date	100	1.59
As on the end of previous 1st quarter	100	1.64
As on the end of previous 2nd quarter	100	1.62
As on the end of previous 3rd quarter	100	1.63
As on the end of previous 4th quarter	100	1.64

Share Holding Pattern – October 2024

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	6	67342021			67342021	44.22	67342021		67342021	44.22
(B)	Public	728436	82419685			82419685	54.13	82419685		82419685	54.13
(C)	Non Promoter- Non Public	1	2513105			2513105		2513105		2513105	1.65
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts	1	2513105			2513105	1.65	2513105		2513105	1.65
	Total	728443	152274811			152274811	100	152274811		152274811	100

Share Holding Pattern – October 2024

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
(A)	Promoter & Promoter Group				44.22			1183884	1.76	67342021			
(B)	Public				54.13					82237911	0	0	0
(C)	Non Promoter-Non Public									2513105			
(C1)	Shares underlying DRs												
(C2)	Shares held by Employee Trusts				1.65					2513105			
	Total				100			1183884	1.76	152093037	0	0	0

Share Holding Pattern – October 2024

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	5	49393237			49393237	32.44	49393237		49393237	32.44
(d)	Any Other (specify)	1	17948784			17948784	11.79	17948784		17948784	11.79
Sub-Total (A)(1)		6	67342021			67342021	44.22	67342021		67342021	44.22
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		6	67342021			67342021	44.22	67342021		67342021	44.22
B	Table III - Statement showing shareholding pattern of the Public shareholder										

Share Holding Pattern – October 2024

(1)	Institutions (Domestic)										
(a)	Mutual Funds	15	4052187			4052187	2.66	4052187		4052187	2.66
(c)	Alternate Investment Funds	2	14274			14274	0.01	14274		14274	0.01
(d)	Banks	1	2000			2000	0	2000		2000	0
(e)	Insurance Companies	6	2300777			2300777	1.51	2300777		2300777	1.51
(i)	NBFCs registered with RBI	2	2190			2190	0	2190		2190	0
Sub-Total (B)(1)		26	6371428			6371428	4.18	6371428		6371428	4.18
(2)	Institutions (Foreign)										
(d)	Foreign Portfolio Investors Category I	95	7649827			7649827	5.02	7649827		7649827	5.02
(e)	Foreign Portfolio Investors Category II	8	465347			465347	0.31	465347		465347	0.31
Sub-Total (B)(2)		103	8115174			8115174	5.33	8115174		8115174	5.33
(3)	Central Government / State Government(s)										

Share Holding Pattern – October 2024

(c)	Shareholding by Companies or Bodies Corporate where Central / State Government is a promoter	1	1			1	0	1	1	0
Sub-Total (B)(3)		1	1			1	0	1	1	0
(4)	Non-institutions									
(b)	Directors and their relatives (excluding independent directors and nominee directors)	6	1445674			1445674	0.95	1445674	1445674	0.95
(c)	Key Managerial Personnel	1	51000			51000	0.03	51000	51000	0.03
(g)	Resident Individuals holding nominal share capital up to Rs. 2 lakhs	712099	50539139			50539139	33.19	50539139	50539139	33.19
(h)	Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs	15	3694332			3694332	2.43	3694332	3694332	2.43

Share Holding Pattern – October 2024

(i)	Non Resident Indians (NRIs)	8354	3523684			3523684	2.31	3523684		3523684	2.31
(j)	Foreign Nationals	2	239329			239329	0.16	239329		239329	0.16
(l)	Bodies Corporate	1003	7355199			7355199	4.83	7355199		7355199	4.83
(m)	Any Other (specify)	6826	1084725			1084725	0.71	1084725		1084725	0.71
Sub-Total (B)(4)		728306	67933082			67933082	44.61	67933082		67933082	44.61
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)		728436	82419685			82419685	54.13	82419685		82419685	54.13
C		Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder									
(2)	Employee Benefit Trust / Employee Welfare Trust under SEBI (Share Based Employee Benefits and Sweat Equity) Regulations, 2021	1	2513105			2513105	1.65	2513105		2513105	1.65
Total NonPromoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		1	2513105			2513105		2513105		2513105	1.65
Total (A+B+C2)		728443	152274811			152274811	100	152274811		152274811	100
Total (A+B+C)		728443	152274811			152274811	100	152274811		152274811	100

Share Holding Pattern – October 2024

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
(1)	Indian											
(a)				32.44			1183884	2.4	49393237			
(d)				11.79			0	0	17948784			
Sub-Total (A)(1)				44.22			1183884	1.76	67342021			
(2)	Foreign											
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)				44.22			1183884	1.76	67342021			

Share Holding Pattern – October 2024

B		Table III - Statement showing shareholding pattern of the Public shareholder												
(1)	Institutions (Domestic)													
(a)				2.66						4052187	0	0	0	
(c)				0.01						14274	0	0	0	
(d)				0						2000	0	0	0	
(e)				1.51						2300777	0	0	0	
(i)				0						2190	0	0	0	
Sub-Total (B)(1)				4.18						6371428	0	0	0	
(2)	Institutions (Foreign)													
(d)				5.02						7649827	0	0	0	
(e)				0.31						465347	0	0	0	
Sub-Total (B)(2)				5.33						8115174	0	0	0	
(3)	Central Government / State Government(s)													
(c)				0						1	0	0	0	
Sub-Total (B)(3)				0						1	0	0	0	
(4)	Non-institutions													
(b)				0.95						1445674	0	0	0	
(c)				0.03						51000	0	0	0	
(g)				33.19						50486240	0	0	0	

Share Holding Pattern – October 2024

(h)				2.43					3694332	0	0	0
(i)				2.31					3394809	0	0	0
(j)				0.16					239329	0	0	0
(l)				4.83					7355199	0	0	0
(m)				0.71					1084725	0	0	0
Sub-Total (B)(4)				44.61					67751308	0	0	0
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)				54.13					82237911	0	0	0
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder											
(2)				1.65					2513105			
Total NonPromoter- Non Public Shareholding (C)= (C)(1)+(C)(2)									2513105			
Total (A+B+C2)				100					152093037			
Total (A+B+C)				100			1183884	1.76	152093037			

Share Holding Pattern – October 2024

Individuals/Hindu undivided Family						
Serial No.	1	2	3	4	5	
Name of the Shareholders (I)	ASHOK SOOTA	USHA SAMUEL	KUNKU SOOTA	VEENA SOOTA	DEEPAK SOOTA	Click here to go back
PAN (II)	ASRPS2966A	CAMPS7381A	BYIPS2037N	AANPS4939F	APMPS5390E	Total
No. of fully paid up equity shares held (IV)	49245787	74060	14274	10053	49063	49393237
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+(V)+ (VI)	49245787	74060	14274	10053	49063	49393237
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	32.34	0.05	0.01	0.01	0.03	32.44
Number of Voting Rights held in each class of securities (IX)						
Class eg:X	49245787	74060	14274	10053	49063	49393237
Class eg:y						
Total	49245787	74060	14274	10053	49063	49393237
Total as a % of Total Voting rights	32.34	0.05	0.01	0.01	0.03	32.44

Share Holding Pattern – October 2024

No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	32.34	0.05	0.01	0.01	0.03	32.44
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of Shares pledged or otherwise encumbered (XIII)						
No. (a)	1183884	0	0	0	0	1183884
As a % of total Shares held (b)	2.4	0	0	0	0	2.4
Number of equity shares held in dematerialized form (XIV)	49245787	74060	14274	10053	49063	49393237
Reason for not providing PAN						
Reason for not providing PAN						
Shareholder type	Promoter	Promoter Group	Promoter Group	Promoter Group	Promoter Group	

Share Holding Pattern – October 2024

Any Other (specify)

Serial No.	1	
Category	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	ASHOK SOOTA MEDICAL RESEARCH LLP	
PAN (II)	ABRFA4499B	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	17948784	17948784
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	17948784	17948784
Shareholding as a % of total no. of shares (calculated)	11.79	11.79

Share Holding Pattern – October 2024

as per SCRR, 1957) (VIII) As a % of (A+B+C2)		
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	17948784	17948784
Class eg:y		
Total	17948784	17948784
Total as a % of Total Voting rights	11.79	11.79
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a	11.79	11.79

Share Holding Pattern – October 2024

percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)		
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)	0	0
As a % of total Shares held (b)	0	0
Number of equity shares held in dematerialized form (XIV)	17948784	17948784
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type	Promoter Group	

Share Holding Pattern – October 2024

Mutual Funds			
Serial No.	1	2	
Name of the Shareholders (I)	PGIM INDIA TRUSTEES PRIVATE LIMITED A/C PGIM INDIA	SBI NIFTY SMALLCAP 250 INDEX FUND	Click here to go back
PAN (II)	AABTP7548P	AABTS6407Q	Total
No. of fully paid up equity shares held (IV)	1642613	1599307	3241920
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+(V)+ (VI)	1642613	1599307	3241920
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.08	1.05	2.13
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	1642613	1599307	3241920
Class eg:y			
Total	1642613	1599307	3241920
Total as a % of Total Voting rights	1.08	1.05	2.13
No. Of Shares Underlying Outstanding convertible securities (X)			

Share Holding Pattern – October 2024

No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.08	1.05	2.13
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	1642613	1599307	3241920
Reason for not providing PAN			
Reason for not providing PAN			
Sub-categorization of shares			
Shareholding (No. of shares) under			
Sub-category (i)	0	0	0
Sub-category (ii)	0	0	0
Sub-category (iii)	0	0	0

Share Holding Pattern – October 2024

Bodies Corporate

Serial No.	1	
Name of the Shareholders (I)	PLUTUS WEALTH MANAGEMENT LLP	Click here to go back
PAN (II)	AATFP4879R	Total
No. of fully paid up equity shares held (IV)	5650000	5650000
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	5650000	5650000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.71	3.71
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	5650000	5650000
Class eg:y		
Total	5650000	5650000
Total as a % of Total Voting rights	3.71	3.71
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		

Share Holding Pattern – October 2024

Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.71	3.71
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	5650000	5650000
Reason for not providing PAN		
Reason for not providing PAN		
Sub-categorization of shares		
Shareholding (No. of shares) under		
Sub-category (i)	0	0
Sub-category (ii)	0	0
Sub-category (iii)	0	0

Share Holding Pattern – October 2024

Any Other (specify)					
Serial No.	1	2	3	4	
Category	Clearing Members	HUF	Trusts		
Category / More than 1 percentage	Category	Category	Category		
Name of the Shareholders (I)				Click here to go back	
PAN (II)				Total	
No. of the Shareholders (I)	8	6812	6	6826	
No. of fully paid up equity shares held (IV)	2401	1080834	1490	1084725	
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+(V)+ (VI)	2401	1080834	1490	1084725	
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	0.71	0	0.71	
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	2401	1080834	1490	1084725	
Class eg:y					
Total	2401	1080834	1490	1084725	
Total as a % of Total Voting rights	0	0.71	0	0.71	
No. Of Shares Underlying Outstanding convertible securities (X)					

Share Holding Pattern – October 2024

No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0	0.71	0	0.71	
Number of Locked in shares (XII)					
No. (a)					
As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	2401	1080834	1490	1084725	
Reason for not providing PAN					
Reason for not providing PAN					
Sub-categorization of shares					
Shareholding (No. of shares) under					
Sub-category (i)	0	0	0	0	
Sub-category (ii)	0	0	0	0	
Sub-category (iii)	0	0	0	0	

Share Holding Pattern – October 2024

Significant beneficial owners

Details of the SBO					Details of the registered owner					Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*:					Date of creation / acquisition of significant beneficial interest
Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:					
										Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
ASHOK SOOTA	ASRPS2966A		India		ASHOK SOOTA MEDICAL RESEARCH LLP	ABRFA4499B		India		44.13	44.13	44.13	No	No	26-05-2020
Total:										44.13	44.13	44.13			