

## Share Holding Pattern

### General information about company

General information about company	
Scrip code	543227
NSE Symbol	HAPPSTMNDS
MSEI Symbol	NOTLISTED
ISIN	INE419U01012
Name of the company	HAPPIEST MINDS TECHNOLOGIES LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-12-2020
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

## Share Holding Pattern

Declaration					
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non-Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	Yes	Yes	Yes	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	6	78211953			78211953	53.25	78211953		78211953	53.25
(B)	Public	193304	68651603			68651603	46.75	68651603		68651603	46.75
(C)	Non-Promoter-Non-Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	193310	146863556			146863556	100	146863556		146863556	100

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				53.25	78211953	100			78211953
(B)	Public				46.75	5437027	7.92			62352861
(C)	Non-Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100	83648980	56.96			140564814

# Share Holding Pattern

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	5	60263169			60263169	41.03	60263169		60263169	41.03
(d)	Any Other (specify)	1	17948784			17948784	12.22	17948784		17948784	12.22
Sub-Total (A)(1)		6	78211953			78211953	53.25	78211953		78211953	53.25
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		6	78211953			78211953	53.25	78211953		78211953	53.25
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	10	9062773			9062773	6.17	9062773		9062773	6.17

## Share Holding Pattern

(c)	Alternate Investment Funds	3	228960			228960	0.16	228960		228960	0.16
(e)	Foreign Portfolio Investors	19	11034547			11034547	7.51	11034547		11034547	7.51
(f)	Financial Institutions/ Banks	1	42334			42334	0.03	42334		42334	0.03
Sub-Total (B)(1)		33	20368614			20368614	13.87	20368614		20368614	13.87
( 3 )	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	190587	27529933			27529933	18.75	27529933		27529933	18.75
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	21	10955096			10955096	7.46	10955096		10955096	7.46
(e)	Any Other (specify)	2663	9797960			9797960	6.67	9797960		9797960	6.67
Sub-Total (B)(3)		193271	48282989			48282989	32.88	48282989		48282989	32.88
Total Public Shareholding		193304	68651603			68651603	46.75	68651603		68651603	46.75

# Share Holding Pattern

(B)=(B)(1)+(B)(2)+(B)(3)											
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total ( A+B+C2 )		193310	146863556			146863556	100	146863556		146863556	100
Total (A+B+C )		193310	146863556			146863556	100	146863556		146863556	100

# Share Holding Pattern

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				41.03	60263169	100			60263169
(d)				12.22	17948784	100			17948784
Sub-Total (A)(1)				53.25	78211953	100			78211953
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)				53.25	78211953	100			78211953
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				6.17	0	0			9062773
(c)				0.16	0	0			228960
(e)				7.51	0	0			11034547



## Share Holding Pattern

(f)				0.03	0	0			42334
Sub-Total (B)(1)				13.87	0	0			20368614
( 3 )	Non-institutions								
(a(i))				18.75	1506128	5.47			23689301
(a(ii))				7.46	2400166	21.91			10458514
(e)				6.67	1530733	15.62			7836432
Sub-Total (B)(3)				32.88	5437027	11.26			41984247
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				46.75	5437027	7.92			62352861
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100	83648980	56.96			140564814
Total (A+B+C)				100	83648980	56.96			140564814

# Share Holding Pattern

Individuals/Hindu undivided Family						
Serial No.	1	2	3	4	5	
Name of the Shareholders (I)	ASHOK SOOTA	SURESH SOOTA	DEEPAK SOOTA	KUNKU SOOTA	USHA SAMUEL	<a href="#">Click here to go back</a>
PAN (II)	ASRPS2966A	AASPS8619P	APMPS5390E	BYIPS2037N	CAMPS7381A	Total
No. of fully paid up equity shares held (IV)	60061701	31459	49063	42380	78566	60263169
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+(V)+ (VI)	60061701	31459	49063	42380	78566	60263169
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	40.9	0.02	0.03	0.03	0.05	41.03
Number of Voting Rights held in each class of securities (IX)						
Class eg:X	60061701	31459	49063	42380	78566	60263169
Class eg:y						
Total	60061701	31459	49063	42380	78566	60263169
Total as a % of Total Voting rights	40.9	0.02	0.03	0.03	0.05	41.03
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						

## Share Holding Pattern

Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	40.9	0.02	0.03	0.03	0.05	41.03
Number of Locked in shares (XII)						
No. (a)	60061701	31459	49063	42380	78566	60263169
As a % of total Shares held (b)	100	100	100	100	100	100
Number of Shares pledged or otherwise encumbered (XIII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	60061701	31459	49063	42380	78566	60263169
Reason for not providing PAN						
Reason for not providing PAN						
Shareholder type	Promoter	Promoter Group	Promoter Group	Promoter Group	Promoter Group	

## Share Holding Pattern

### Any Other (specify)

Serial No.	1	
Category	Partnership Firms	<a href="#">Click here to go back</a>
Name of the Shareholders (I)	ASHOK SOOTA MEDICAL RESEARCH LLP	
PAN (II)	ABRFA4499B	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	17948784	17948784
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	17948784	17948784
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	12.22	12.22
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	17948784	17948784
Class eg:y		
Total	17948784	17948784
Total as a % of Total Voting rights	12.22	12.22
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		

## Share Holding Pattern

No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	12.22	12.22
Number of Locked in shares (XII)		
No. (a)	17948784	17948784
As a % of total Shares held (b)	100	100
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	17948784	17948784
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type	Promoter Group	

# Share Holding Pattern

## Mutual Funds

Serial No.	1	2	
Name of the Shareholders (I)	L&T MUTUAL FUND TRUSTEE LIMITED- L&T EMERGING BUSINESSES FUND	SBI LARGE & MIDCAP FUND	<a href="#">Click here to go back</a>
PAN (II)	AAATC4460E	AABTS6407Q	Total
No. of fully paid up equity shares held (IV)	1499711	3225850	4725561
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+(V)+ (VI)	1499711	3225850	4725561
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.02	2.2	3.22
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	1499711	3225850	4725561
Class eg:y			
Total	1499711	3225850	4725561
Total as a % of Total Voting rights	1.02	2.2	3.22
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			

## Share Holding Pattern

Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.02	2.2	3.22
Number of Locked in shares (XII)			
No. (a)	0	0	0
As a % of total Shares held (b)	0	0	0
Number of equity shares held in dematerialized form (XIV)	1499711	3225850	4725561
Reason for not providing PAN			
Reason for not providing PAN			

## Share Holding Pattern

<b>Foreign Portfolio Investors</b>			
Serial No.	1	2	
Name of the Shareholders (I)	GOVERNMENT OF SINGAPORE	GOLDMAN SACHS INDIA LIMITED	<a href="#">Click here to go back</a>
PAN (II)	AAAJG0594R	AADCG0260C	Total
No. of fully paid up equity shares held (IV)	3021073	1505475	4526548
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+(V)+ (VI)	3021073	1505475	4526548
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.06	1.03	3.08
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	3021073	1505475	4526548
Class eg:y			
Total	3021073	1505475	4526548
Total as a % of Total Voting rights	2.06	1.03	3.08
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			



## Share Holding Pattern

Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.06	1.03	3.08
Number of Locked in shares (XII)			
No. (a)	0	0	0
As a % of total Shares held (b)	0	0	0
Number of equity shares held in dematerialized form (XIV)	3021073	1505475	4526548
Reason for not providing PAN			
Reason for not providing PAN			

## Share Holding Pattern

### Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.

Serial No.	1	
Name of the Shareholders (I)	NUGGEHALLI KRISHNAMACHARYA SRIRANGANARAYANAN	<a href="#">Click here to go back</a>
PAN (II)	AABTH0743E	Total
No. of fully paid up equity shares held (IV)	5334551	5334551
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	5334551	5334551
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.63	3.63
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	5334551	5334551
Class eg:y		
Total	5334551	5334551
Total as a % of Total Voting rights	3.63	3.63
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.63	3.63

## Share Holding Pattern

Number of Locked in shares (XII)		
No. (a)	0	0
As a % of total Shares held (b)	0	0
Number of equity shares held in dematerialized form (XIV)	5334551	5334551
Reason for not providing PAN		
Reason for not providing PAN		

## Share Holding Pattern

### Any Other (specify)

Serial No.	1	2	3	4	5	6	7
Category	Trusts	Non-Resident Indian (NRI)	Clearing Members	Director or Director's Relatives	Others	Others	Bodies Corporate
Category / More than 1 percentage	Category	Category	Category	Category	Category	Category	Category
Name of the Shareholders (I)					Qualified Institutional Buyer	NON RESIDENT INDIAN NON REPATRIABLE	
PAN (II)							
No. of the Shareholders (I)	4	1442	225	3	3	552	433
No. of fully paid up equity shares held (IV)	8046	2381992	703171	1267137	1032300	453653	3950560
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+(V)+ (VI)	8046	2381992	703171	1267137	1032300	453653	3950560
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.01	1.62	0.48	0.86	0.7	0.31	2.69
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	8046	2381992	703171	1267137	1032300	453653	3950560
Class eg:y							
Total	8046	2381992	703171	1267137	1032300	453653	3950560

## Share Holding Pattern

Total as a % of Total Voting rights	0.01	1.62	0.48	0.86	0.7	0.31	2.69
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.01	1.62	0.48	0.86	0.7	0.31	2.69
Number of Locked in shares (XII)							
No. (a)	0	823965	0	342137	0	180115	184516
As a % of total Shares held (b)	0	34.59	0	27	0	39.7	4.67
Number of equity shares held in dematerialized form (XIV)	8046	608464	703171	1079137	1032300	453653	3950560
Reason for not providing PAN							
Reason for not providing PAN							

## Share Holding Pattern

### Any Other (specify)

Serial No.	8	9	
Category	Foreign Nationals	Bodies Corporate	
Category / More than 1 percentage	Category	More than 1 percentage of shareholding	
Name of the Shareholders (I)		PLUTUS WEALTH MANAGEMENT LLP	<a href="#">Click here to go back</a>
PAN (II)		AATFP4879R	Total
No. of the Shareholders (I)	1	1	2663
No. of fully paid up equity shares held (IV)	1101	2500000	9797960
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+(V)+ (VI)	1101	2500000	9797960
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	1.7	6.67
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	1101	2500000	9797960
Class eg:y			
Total	1101	2500000	9797960
Total as a % of Total Voting rights	0	1.7	6.67
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			

## Share Holding Pattern

No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0	1.7	6.67
Number of Locked in shares (XII)			
No. (a)	0	0	1530733
As a % of total Shares held (b)	0	0	15.62
Number of equity shares held in dematerialized form (XIV)	1101	2500000	7836432
Reason for not providing PAN			
Reason for not providing PAN			