

Share Holding Pattern – September 2021

General information about company

Scrip code	543227
NSE Symbol	HAPPSTMNDS
MSEI Symbol	NOTLISTED
ISIN	INE419U01012
Name of the company	HAPPIEST MINDS TECHNOLOGIES LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2021
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

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Declaration					
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non-Promoter Non-Public
1	Whether the Listed Entity has issued any partly paid-up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	Yes	Yes	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

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Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	6	78211953			78211953	53.25	78211953		78211953	53.25
(B)	Public	527502	64008225			64008225	43.58	64008225		64008225	43.58
(C)	Non Promoter-Non Public	1	4643378			4643378		4643378		4643378	3.16
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts	1	4643378			4643378	3.16	4643378		4643378	3.16
	Total	527509	146863556			146863556	100	146863556		146863556	100

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Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				53.25	29372712	37.56			78211953
(B)	Public				43.58					59944284
(C)	Non Promoter-Non Public									4643378
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts				3.16					4643378
	Total				97	29372712	20			142799615

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Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	5	60263169			60263169	41.03	60263169		60263169	41.03
(d)	Any Other (specify)	1	17948784			17948784	12.22	17948784		17948784	12.22
Sub-Total (A)(1)		6	78211953			78211953	53.25	78211953		78211953	53.25
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		6	78211953			78211953	53.25	78211953		78211953	53.25
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	12	2156663			2156663	1.47	2156663		2156663	1.47

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(c)	Alternate Investment Funds	4	229422			229422	0.16	229422		229422	0.16
(e)	Foreign Portfolio Investors	78	10353490			10353490	7.05	10353490		10353490	7.05
(f)	Financial Institutions/ Banks	2	52196			52196	0.04	52196		52196	0.04
(i)	Any Other (specify)	2	601612			601612	0.41	601612		601612	0.41
Sub-Total (B)(1)		98	13393383			13393383	9.12	13393383		13393383	9.12
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	520821	37270334			37270334	25.38	37270334		37270334	25.38
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	21	5128567			5128567	3.49	5128567		5128567	3.49
(b)	NBFCs registered with RBI	2	540			540	0	540		540	0

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(e)	Any Other (specify)	6560	8215401			8215401	5.59	8215401		8215401	5.59
Sub-Total (B)(3)		527404	50614842			50614842	34.46	50614842		50614842	34.46
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		527502	64008225			64008225	43.58	64008225		64008225	43.58
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	1	4643378			4643378	3.16	4643378		4643378	3.16
Total NonPromoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		1	4643378			4643378		4643378		4643378	3.16
Total (A+B+C2)		527509	146863556			146863556	100	146863556		146863556	100
Total (A+B+C)		527509	146863556			146863556	100	146863556		146863556	100

Share Holding Pattern – September 2021

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				41.03	29372712	48.74			60263169
(d)				12.22	0	0			17948784
Sub-Total (A)(1)				53.25	29372712	37.56			78211953
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)				53.25	29372712	37.56			78211953
B	Table III - Statement showing shareholding pattern of the public shareholder								
(1)	Institutions								
(a)				1.47					2156663
(c)				0.16					229422
(e)				7.05					10353490

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(f)				0.04					52196
(i)				0.41					601612
Sub-Total (B)(1)				9.12					13393383
(3)	Non-institutions								
(a(i))				25.38					34865353
(a(ii))				3.49					4576785
(b)				0					540
(e)				5.59					7108223
Sub-Total (B)(3)				34.46					46550901
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				43.58					59944284
C	Table IV - Statement showing shareholding pattern of the Non-Promoter- Non Public shareholder								
(2)				3.16					4643378
Total NonPromoter- Non Public Shareholding (C)= (C)(1)+(C)(2)									4643378
Total (A+B+C2)				100	29372712	20			142799615
Total (A+B+C)				97	29372712	20			142799615

Share Holding Pattern – September 2021

Individuals/Hindu undivided Family

Serial No.	1	2	3	4	5	
Name of the Shareholders (I)	ASHOK SOOTA	SURESH SOOTA	DEEPAK SOOTA	KUNKU SOOTA	USHA SAMUEL	Click here to go back
PAN (II)	ASRPS2966A	AASPS8619P	APMPS5390E	BYIPS2037N	CAMPS7381A	Total
No. of fully paid up equity shares held (IV)	60061701	31459	49063	42380	78566	60263169
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+(V)+ (VI)	60061701	31459	49063	42380	78566	60263169
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	40.9	0.02	0.03	0.03	0.05	41.03
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	60061701	31459	49063	42380	78566	60263169
Class eg: y						
Total	60061701	31459	49063	42380	78566	60263169
Total as a % of Total Voting rights	40.9	0.02	0.03	0.03	0.05	41.03

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No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	40.9	0.02	0.03	0.03	0.05	41.03
Number of Voting Rights held in each class of securities (IX)						
No. (a)	29372712	0	0	0	0	29372712
As a % of total Shares held (b)	48.9	0	0	0	0	48.74
Number of Shares pledged or otherwise encumbered (XIII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	60061701	31459	49063	42380	78566	60263169
Reason for not providing PAN						
Shareholder type	Promoter	Promoter Group	Promoter Group	Promoter Group	Promoter Group	

Share Holding Pattern – September 2021

Any Other (specify)		
Serial No.	1	
Category	Partnership Firms	Click here to go back
Name of the Shareholders (I)	ASHOK SOOTA MEDICAL RESEARCH LLP	
PAN (II)	ABRFA4499B	Total
No. of the Shareholders (I)	1	1
No. of fully paid-up equity shares held (IV)	17948784	17948784
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	17948784	17948784
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	12.22	12.22
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	17948784	17948784
Class eg:y		
Total	17948784	17948784
Total as a % of Total Voting rights	12.22	12.22

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No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	12.22	12.22
Number of Locked in shares (XII)		
No. (a)	0	0
As a % of total Shares held (b)	0	0
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	17948784	17948784
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type	Promoter Group	

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Foreign Portfolio Investors			
Serial No.	1	2	
Name of the Shareholders (I)	GOVERNMENT OF SINGAPORE	MORGAN STANLEY INVESTMENT FUNDS EMERGING LEADERS E	Click here to go back
PAN (II)	AAAJG0594R	AAJCM8623M	Total
No. of fully paid-up equity shares held (IV)	1988151	1559304	3547455
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+(V)+ (VI)	1988151	1559304	3547455
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.35	1.06	2.42
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	1988151	1559304	3547455
Class eg:y			
Total	1988151	1559304	3547455
Total as a % of Total Voting rights	1.35	1.06	2.42
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			

Share Holding Pattern – September 2021

Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.35	1.06	2.42
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	1988151	1559304	3547455
Reason for not providing PAN			
Reason for not providing PAN			

Any Other (specify)		
Serial No.	1	
Category	Other	
Category / More than 1 percentage	Category	Click here to go back
Name of the Shareholders (I)	Qualified Institutional Buyer	
PAN (II)		Total
No. of the Shareholders (I)	2	2
No. of fully paid up equity shares held (IV)	601612	601612
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	601612	601612

Share Holding Pattern – September 2021

Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.41	0.41
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	601612	601612
Class eg: y		
Total	601612	601612
Total as a % of Total Voting rights	0.41	0.41
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and Warrants (X)		
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.41	0.41
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	601612	601612
Reason for not providing PAN		
Reason for not providing PAN		

Share Holding Pattern – September 2021

Any Other (specify)							
Serial No.	1	2	3	4	5	6	7
Category	Trusts	Non-Resident Indian (NRI)	Clearing Members	Director or Director's Relatives	Others	Bodies Corporate	Bodies Corporate
Category / More than 1 percentage	Category	Category	Category	Category	Category	Category	More than 1 percentage of shareholding
Name of the Shareholders (I)					NON-RESIDENT INDIAN NON REPATRIABLE		PLUTUS WEALTH MANAGEMENT LLP
PAN (II)							AATFP4879R
No. of the Shareholders (I)	3	3972	170	3	1632	779	1
No. of fully paid up equity shares held (IV)	3924	2598375	374593	1085000	731084	3422380	2500000
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+(V)+ (VI)	3924	2598375	374593	1085000	731084	3422380	2500000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	1.77	0.26	0.74	0.5	2.33	1.7
Number of Voting Rights held in each class of securities (IX)							

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Class eg: X	3924	2598375	374593	1085000	731084	3422380	2500000
Class eg:y							
Total	3924	2598375	374593	1085000	731084	3422380	2500000
Total as a % of Total Voting rights	0	1.77	0.26	0.74	0.5	2.33	1.7
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0	1.77	0.26	0.74	0.5	2.33	1.7
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	3924	1627697	374593	948500	731084	3422380	2500000
Reason for not providing PAN							
Reason for not providing PAN							

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Any Other (specify)		
Serial No.	8	
Category	Foreign Nationals	
Category / More than 1 percentage	Category	
Name of the Shareholders (I)		Click here to go back
PAN (II)		Total
No. of the Shareholders (I)	1	6560
No. of fully paid up equity shares held (IV)	45	8215401
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	45	8215401
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	5.6
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	45	8215401
Class eg:y		
Total	45	8215401
Total as a % of Total Voting rights	0	5.6
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		

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No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0	5.6
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	45	7108223
Reason for not providing PAN		
Reason for not providing PAN		

Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)

Serial No.	1	
Name of the Shareholders (I)	NUGGEHALLI KRISHNAMACHARYA SRIRANGANARAYANAN	Click here to go back
PAN (II)	AABTH0743E	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	4643378	4643378
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	4643378	4643378

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Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.16	3.16
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	4643378	4643378
Class eg:y		
Total	4643378	4643378
Total as a % of Total Voting rights	3.16	3.16
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.16	3.16
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	4643378	4643378
Reason for not providing PAN		
Reason for not providing PAN		

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Significant beneficial owners																
Sr. No.	Details of the SBO					Details of the registered owner					Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*:					Date of creation / acquisition of significant beneficial interest
	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:					
											Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
1	ASHOK SOOTA	ASRPS2966A		India		ASHOK SOOTA MEDICAL RESEARCH LLP	ABRFA4499 B		India		12.22	12.22	12.22	No	No	26-05-2020
Total:											12.22	12.22	12.22			