

Share Holding Pattern – December 2022

General information about company

General information about company	
Scrip code	543227
NSE Symbol	HAPPSTMNDS
MSEI Symbol	
ISIN	INE419U01012
Name of the company	HAPPIEST MINDS TECHNOLOGIES LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-12-2022
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

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Declaration					
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	Yes	Yes	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	Yes		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

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Table VI - Statement showing foreign ownership limits

Particular	Approved limits (%)	Limits utilized (%)
As on shareholding date	0	0
As on the end of previous 1st quarter	0	0
As on the end of previous 2nd quarter	0	0
As on the end of previous 3rd quarter	0	0
As on the end of previous 4th quarter	0	0

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Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	6	78197544			78197544	53.25	78197544		78197544	53.25
(B)	Public	712841	64839639			64839639	44.15	64839639		64839639	44.15
(C)	Non Promoter-Non Public	1	3826373			3826373		3826373		3826373	2.61
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts	1	3826373			3826373	2.61	3826373		3826373	2.61
	Total	712848	146863556			146863556	100	146863556		146863556	100

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Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
(A)	Promoter & Promoter Group				53.25	29372712	37.56	4300000	5.5	78197544			
(B)	Public				44.15					63129438	0	0	0
(C)	Non Promoter-Non Public									3826373			
(C1)	Shares underlying DRs												
(C2)	Shares held by Employee Trusts				2.61					3826373			
	Total				97	29372712	20	4300000	2.93	145153355	0	0	0

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

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Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	5	60248760			60248760	41.02	60248760		60248760	41.02
(d)	Any Other (specify)	1	17948784			17948784	12.22	17948784		17948784	12.22
Sub-Total (A)(1)		6	78197544			78197544	53.25	78197544		78197544	53.25
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		6	78197544			78197544	53.25	78197544		78197544	53.25
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions (Domestic)										
(a)	Mutual Funds	14	1331757			1331757	0.91	1331757		1331757	0.91
(c)	Alternate Investment Funds	1	137			137	0	137		137	0
(e)	Insurance Companies	1	600000			600000	0.41	600000		600000	0.41

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(i)	NBFCs registered with RBI	3	1620			1620	0	1620		1620	0
Sub-Total (B)(1)		19	1933514			1933514	1.32	1933514		1933514	1.32
(2)	Institutions (Foreign)										
(d)	Foreign Portfolio Investors Category I	98	5791476			5791476	3.94	5791476		5791476	3.94
(e)	Foreign Portfolio Investors Category II	7	195084			195084	0.13	195084		195084	0.13
(g)	Any Other (specify)	1	300			300	0	300		300	0
Sub-Total (B)(2)		106	5986860			5986860	4.08	5986860		5986860	4.08
(3)	Central Government / State Government(s)										
(4)	Non-institutions										
(b)	Directors and their relatives (excluding independent directors and nominee directors)	7	1763194			1763194	1.2	1763194		1763194	1.2
(c)	Key Managerial Personnel	1	51000			51000	0.03	51000		51000	0.03
(g)	Resident Individuals holding nominal share capital up to Rs. 2 lakhs	698549	41171779			41171779	28.03	41171779		41171779	28.03
(h)	Resident Individuals holding nominal	16	3731454			3731454	2.54	3731454		3731454	2.54

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	share capital in excess of Rs. 2 lakhs										
(i)	Non Resident Indians (NRIs)	7531	3674390			3674390	2.5	3674390		3674390	2.5
(j)	Foreign Nationals	1	45			45	0	45		45	0
(l)	Bodies Corporate	838	5713218			5713218	3.89	5713218		5713218	3.89
(m)	Any Other (specify)	5773	814185			814185	0.55	814185		814185	0.55
Sub-Total (B)(4)		712716	56919265			56919265	38.76	56919265		56919265	38.76
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)		712841	64839639			64839639	44.15	64839639		64839639	44.15
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
(2)	Employee Benefit Trust / Employee Welfare Trust under SEBI (Share Based Employee Benefits and Sweat Equity) Regulations, 2021	1	3826373			3826373	2.61	3826373		3826373	2.61
Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		1	3826373			3826373		3826373		3826373	2.61
Total (A+B+C2)		712848	146863556			146863556	100	146863556		146863556	100
Total (A+B+C)		712848	146863556			146863556	100	146863556		146863556	100

Share Holding Pattern – December 2022

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
(1)	Indian											
(a)				41.02	29372712	48.75	4300000	7.14	60248760			
(d)				12.22	0	0	0	0	17948784			
Sub-Total (A)(1)				53.25	29372712	37.56	4300000	5.5	78197544			
(2)	Foreign											

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Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)				53.25	29372712	37.56	4300000	5.5	78197544			
B	Table III - Statement showing shareholding pattern of the Public shareholder											
(1)	Institutions (Domestic)											
(a)				0.91					1331757	0	0	0
(c)				0					137	0	0	0
(e)				0.41					600000	0	0	0
(i)				0					1620	0	0	0
Sub-Total (B)(1)				1.32					1933514	0	0	0
(2)	Institutions (Foreign)											
(d)				3.94					5791476	0	0	0
(e)				0.13					195084	0	0	0
(g)				0					300	0	0	0
Sub-Total (B)(2)				4.08					5986860	0	0	0
(3)	Central Government / State Government(s)											
(4)	Non-institutions											
(b)				1.2					1689694	0	0	0
(c)				0.03					51000	0	0	0

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(g)				28.03					40261262	0	0	0
(h)				2.54					3566254	0	0	0
(i)				2.5					3113406	0	0	0
(j)				0					45	0	0	0
(l)				3.89					5713218	0	0	0
(m)				0.55					814185	0	0	0
Sub-Total (B)(4)				38.76					55209064	0	0	0
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)				4.15					63129438	0	0	0
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder											
(2)				2.61					3826373			
Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)									3826373			
Total (A+B+C2)				100	29372712	20			145153355			
Total (A+B+C)				97	29372712	20	4300000	2.93	145153355			

Share Holding Pattern – December 2022

Individuals/Hindu undivided Family						
Serial No.	1	2	3	4	5	
Name of the Shareholders (I)	ASHOK SOOTA	SURESH SOOTA	DEEPAK SOOTA	KUNKU SOOTA	USHA SAMUEL	Click here to go back
PAN (II)	ASRPS2966A	AASPS8619P	APMPS5390E	BYIPS2037N	CAMPS7381A	Total
No. of fully paid up equity shares held (IV)	60075393	31459	49063	18779	74066	60248760
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+(V)+ (VI)	60075393	31459	49063	18779	74066	60248760
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	40.91	0.02	0.03	0.01	0.05	41.02
Number of Voting Rights held in each class of securities (IX)						
Class eg:X	60075393	31459	49063	18779	74066	60248760
Class eg:y						
Total	60075393	31459	49063	18779	74066	60248760
Total as a % of Total Voting rights	40.91	0.02	0.03	0.01	0.05	41.02
No. Of Shares Underlying Outstanding convertible securities (X)						

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No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	40.91	0.02	0.03	0.01	0.05	41.02
Number of Locked in shares (XII)						
No. (a)	29372712	0	0	0	0	29372712
As a % of total Shares held (b)	48.89	0	0	0	0	48.75
Number of Shares pledged or otherwise encumbered (XIII)						
No. (a)	4300000	0	0	0	0	4300000
As a % of total Shares held (b)	7.16	0	0	0	0	7.14
Number of equity shares held in dematerialized form (XIV)	60075393	31459	49063	18779	74066	60248760
Reason for not providing PAN						
Reason for not providing PAN						
Shareholder type	Promoter	Promoter Group	Promoter Group	Promoter Group	Promoter Group	

Share Holding Pattern – December 2022

Any Other (specify)		
Serial No.	1	
Category	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	ASHOK SOOTA MEDICAL RESEARCH LLP	
PAN (II)	ABRFA4499B	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	17948784	17948784
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	17948784	17948784
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	12.22	12.22
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	17948784	17948784
Class eg:y		
Total	17948784	17948784
Total as a % of Total Voting rights	12.22	12.22
No. Of Shares Underlying Outstanding convertible securities (X)		

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No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	12.22	12.22
Number of Locked in shares (XII)		
No. (a)	0	0
As a % of total Shares held (b)	0	0
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)	0	0
As a % of total Shares held (b)	0	0
Number of equity shares held in dematerialized form (XIV)	17948784	17948784
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type	Promoter Group	

Share Holding Pattern – December 2022

Bodies Corporate		
Serial No.	1	
Name of the Shareholders (I)	PLUTUS WEALTH MANAGEMENT LLP	Click here to go back
PAN (II)	AATFP4879R	Total
No. of fully paid up equity shares held (IV)	5000000	5000000
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	5000000	5000000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.4	3.4
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	5000000	5000000
Class eg:y		
Total	5000000	5000000
Total as a % of Total Voting rights	3.4	3.4
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.4	3.4
Number of Locked in shares (XII)		

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No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	5000000	5000000
Reason for not providing PAN		
Reason for not providing PAN		
Sub-categorization of shares		
Shareholding (No. of shares) under		
Sub-category (i)	0	0
Sub-category (ii)	0	0
Sub-category (iii)	0	0

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Any Other (specify)				
Serial No.	1	2	3	
Category	Clearing Members	HUF	Trusts	
Category / More than 1 percentage	Category	Category	Category	
Name of the Shareholders (I)				Click here to go back
PAN (II)				Total
No. of the Shareholders (I)	79	5691	3	5773
No. of fully paid up equity shares held (IV)	39189	774146	850	814185
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+(V)+ (VI)	39189	774146	850	814185
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.03	0.53	0	0.56
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	39189	774146	850	814185
Class eg:y				
Total	39189	774146	850	814185
Total as a % of Total Voting rights	0.03	0.53	0	0.56
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				

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No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.03	0.53	0	0.56
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	39189	774146	850	814185
Reason for not providing PAN				
Sub-categorization of shares				
Shareholding (No. of shares) under				
Sub-category (i)	0	0	0	0
Sub-category (ii)	0	0	0	0
Sub-category (iii)	0	0	0	0

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Employee Benefit Trust / Employee Welfare Trust under SEBI (Share Based Employee Benefits and Sweat Equity) Regulations, 2021		
Serial No.	1	
Name of the Shareholders (I)	NUGGEHALLI KRISHNAMACHARYA SRIRANGANARAYANAN	Click here to go back
PAN (II)	AABTH0743E	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	3826373	3826373
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	3826373	3826373
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.61	2.61
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	3826373	3826373
Class eg:y		
Total	3826373	3826373
Total as a % of Total Voting rights	2.61	2.61
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		

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No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.61	2.61
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		0
Number of equity shares held in dematerialized form (XIV)	3826373	3826373
Reason for not providing PAN		
Reason for not providing PAN		

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Significant beneficial owners															
Details of the SBO					Details of the registered owner					Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*:					Date of creation / acquisition of significant beneficial interest
Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:					
										Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
ASHOK SOOTA	ASRPS2966A		India		ASHOK SOOTA MEDICAL RESEARCH LLP	ABRFA4499B		India		12.22	12.22	12.22	No	No	26-05-2020
Total:										12.22	12.22	12.22			