

Share Holding Pattern – April 2022

General information about company

Scrip code	543227
NSE Symbol	HAPPSTMNDS
MSEI Symbol	NOTLISTED
ISIN	INE419U01012
Name of the company	HAPPIEST MINDS TECHNOLOGIES LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-03-2022
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

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Declaration					
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non-Promoter Non-Public
1	Whether the Listed Entity has issued any partly paid-up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	Yes	Yes	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

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Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid-up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	6	78214420			78214420	53.26	78214420		78214420	53.26
(B)	Public	675115	64394447			64394447	43.85	64394447		64394447	43.85
(C)	Non Promoter Non-Public	1	4254689			4254689		4254689		4254689	2.9
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts	1	4254689			4254689	2.9	4254689		4254689	2.9
	Total	675122	146863556			146863556	100	146863556		146863556	100

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Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				53.26	29372712	37.55			78214420
(B)	Public				43.85					61367043
(C)	Non Promoter- Non Public									4254689
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts				2.9					4254689
	Total				97	29372712	20			143836152

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Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	5	60265636			60265636	41.04	60265636		60265636	41.04
(d)	Any Other (specify)	1	17948784			17948784	12.22	17948784		17948784	12.22
Sub-Total (A)(1)		6	78214420			78214420	53.26	78214420		78214420	53.26
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		6	78214420			78214420	53.26	78214420		78214420	53.26
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										

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(a)	Mutual Funds	11	1505772			1505772	1.03	1505772		1505772	1.03
(e)	Foreign Portfolio Investors	81	9236128			9236128	6.29	9236128		9236128	6.29
(f)	Financial Institutions/ Banks	2	42341			42341	0.03	42341		42341	0.03
(i)	Any Other (specify)	2	624928			624928	0.43	624928		624928	0.43
Sub-Total (B)(1)		96	11409169			11409169	7.77	11409169		11409169	7.77
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	667100	40216605			40216605	27.38	40216605		40216605	27.38
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	20	4661906			4661906	3.17	4661906		4661906	3.17
(b)	NBFCs registered with RBI	3	959			959	0	959		959	0
(e)	Any Other (specify)	7896	8105808			8105808	5.52	8105808		8105808	5.52
Sub-Total (B)(3)		675019	52985278			52985278	36.08	52985278		52985278	36.08

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Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		675115	64394447			64394447	43.85	64394447	64394447	43.85	
C	Table IV - Statement showing shareholding pattern of the Non-Promoter- Non Public shareholder										
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	1	4254689			4254689	2.9	4254689	4254689	2.9	
Total NonPromoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		1	4254689			4254689		4254689	4254689	2.9	
Total (A+B+C2)		675122	146863556			146863556	100	146863556	146863556	100	
Total (A+B+C)		675122	146863556			146863556	100	146863556	146863556	100	

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Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				41.04	29372712	48.74			60265636
(d)				12.22	0	0			17948784
Sub-Total (A)(1)				53.26	29372712	37.55			78214420
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)				53.26	29372712	37.55			78214420
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				1.03					1505772
(e)				6.29					9236128
(f)				0.03					42341
(i)				0.43					624928

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Sub-Total (B)(1)				7.77					11409169
(3)	Non-institutions								
(a(i))				27.38					38406385
(a(ii))				3.17					4326706
(b)				0					959
(e)				5.52					7223824
Sub-Total (B)(3)				36.08					49957874
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				43.85					61367043
C	Table IV - Statement showing shareholding pattern of the Non-Promoter- Non Public shareholder								
(2)				2.9					4254689
Total NonPromoter- Non Public Shareholding (C)= (C)(1)+(C)(2)									4254689
Total (A+B+C2)				100	29372712	20			143836152
Total (A+B+C)				97	29372712	20			143836152

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Individuals/Hindu undivided Family						
Serial No.	1	2	3	4	5	
Name of the Shareholders (I)	ASHOK SOOTA	SURESH SOOTA	DEEPAK SOOTA	KUNKU SOOTA	USHA SAMUEL	Click here to go back
PAN (II)	ASRPS2966A	AASPS8619P	APMPS5390E	BYIPS2037N	CAMPS7381A	Total
No. of fully paid up equity shares held (IV)	60068668	31459	49063	42380	74066	60265636
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+(V)+ (VI)	60068668	31459	49063	42380	74066	60265636
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	40.9	0.02	0.03	0.03	0.05	41.04
Number of Voting Rights held in each class of securities (IX)						
Class eg:X	60068668	31459	49063	42380	74066	60265636
Class eg:y						
Total	60068668	31459	49063	42380	74066	60265636
Total as a % of Total Voting rights	40.9	0.02	0.03	0.03	0.05	41.04
No. Of Shares Underlying Outstanding convertible securities (X)						

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No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	40.9	0.02	0.03	0.03	0.05	41.04
Number of Locked in shares (XII)						
No. (a)	29372712	0	0	0	0	29372712
As a % of total Shares held (b)	48.9	0	0	0	0	48.74
Number of Shares pledged or otherwise encumbered (XIII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	60068668	31459	49063	42380	74066	60265636
Reason for not providing PAN						
Reason for not providing PAN						
Shareholder type	Promoter	Promoter Group	Promoter Group	Promoter Group	Promoter Group	

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Any Other (specify)		
Serial No.	1	
Category	Partnership Firms	Click here to go back
Name of the Shareholders (I)	ASHOK SOOTA MEDICAL RESEARCH LLP	
PAN (II)	ABRFA4499B	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	17948784	17948784
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	17948784	17948784
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	12.22	12.22
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	17948784	17948784
Class eg:y		
Total	17948784	17948784
Total as a % of Total Voting rights	12.22	12.22
No. Of Shares Underlying Outstanding convertible securities (X)		

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No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	12.22	12.22
Number of Locked in shares (XII)		
No. (a)	0	0
As a % of total Shares held (b)	0	0
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	17948784	17948784
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type	Promoter Group	

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Foreign Portfolio Investors		
Serial No.	1	
Name of the Shareholders (I)	MORGAN STANLEY INVESTMENT FUNDS EMERGING LEADERS E	Click here to go back
PAN (II)	AAJCM8623M	Total
No. of fully paid up equity shares held (IV)	1827171	1827171
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	1827171	1827171
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.24	1.24
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	1827171	1827171
Class eg:y		
Total	1827171	1827171
Total as a % of Total Voting rights	1.24	1.24
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.24	1.24

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Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	1827171	1827171
Reason for not providing PAN		
Reason for not providing PAN		

Any Other (specify)		
Serial No.	1	
Category	Other	
Category / More than 1 percentage	Category	Click here to go back
Name of the Shareholders (I)	Qualified Institutional Buyer	
PAN (II)		Total
No. of the Shareholders (I)	2	2
No. of fully paid up equity shares held (IV)	624928	624928
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	624928	624928
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.43	0.43

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Number of Voting Rights held in each class of securities (IX)		
Class eg: X	624928	624928
Class eg:y		
Total	624928	624928
Total as a % of Total Voting rights	0.43	0.43
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and Warrants (X)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.43	0.43
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	624928	624928
Reason for not providing PAN		
Reason for not providing PAN		

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Any Other (specify)							
Serial No.	1	2	3	4	5	6	7
Category	Trusts	Non-Resident Indian (NRI)	Clearing Members	Director or Director's Relatives	Others	Bodies Corporate	Bodies Corporate
Category / More than 1 percentage	Category	Category	Category	Category	Category	Category	More than 1 percentage of shareholding
Name of the Shareholders (I)					NON RESIDENT INDIAN NON REPATRIABLE		PLUTUS WEALTH MANAGEMENT LLP
PAN (II)							AATFP4879R
No. of the Shareholders (I)	5	4776	127	3	2158	826	1
No. of fully paid up equity shares held (IV)	2800	2583325	238872	1075000	912208	3293558	2500000
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+(V)+ (VI)	2800	2583325	238872	1075000	912208	3293558	2500000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	1.76	0.16	0.73	0.62	2.24	1.7
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	2800	2583325	238872	1075000	912208	3293558	2500000
Class eg:y							

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Total	2800	2583325	238872	1075000	912208	3293558	2500000
Total as a % of Total Voting rights	0	1.76	0.16	0.73	0.62	2.24	1.7
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0	1.76	0.16	0.73	0.62	2.24	1.7
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	2800	2583325	238872	1075000	912208	3293558	2500000
Reason for not providing PAN							
Reason for not providing PAN							

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Any Other (specify)		
Serial No.	8	
Category	Foreign Nationals	
Category / More than 1 percentage	Category	
Name of the Shareholders (I)		Click here to go back
PAN (II)		Total
No. of the Shareholders (I)	1	7896
No. of fully paid up equity shares held (IV)	45	8105808
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	45	8105808
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	5.51
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	45	8105808
Class eg:y		
Total	45	8105808
Total as a % of Total Voting rights	0	5.51
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		

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No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0	5.51
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	45	7223824
Reason for not providing PAN		
Reason for not providing PAN		

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Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		
Serial No.	1	
Name of the Shareholders (I)	NUGGEHALLI KRISHNAMACHARYA SRIRANGANARAYANAN	Click here to go back
PAN (II)	AABTH0743E	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	4254689	4254689
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	4254689	4254689
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.02	3.02
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	4254689	4254689
Class eg:y		
Total	4254689	4254689
Total as a % of Total Voting rights	2.9	2.9
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		

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Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.9	2.9
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		0
Number of equity shares held in dematerialized form (XIV)	4254689	4254689
Reason for not providing PAN		
Reason for not providing PAN		

Significant beneficial owners

Details of the SBO					Details of the registered owner					Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*:					Date of creation / acquisition of significant beneficial interest
Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:					
										Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
ASHOK SOOTA	ASRPS2966A		India		ASHOK SOOTA MEDICAL RESEARCH LLP	ABRFA4499B		India		12.22	12.22	12.22	No	No	26-05-2020
Total:										12.22	12.22	12.22			