

Share Holding Pattern

General information about company

Scrip code	543227
NSE Symbol	HAPPSTMNDS
MSEI Symbol	NOTLISTED
ISIN	INE419U01012
Name of the company	HAPPIEST MINDS TECHNOLOGIES LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-03-2021
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Share Holding Pattern

Declaration					
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non-Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	Yes	Yes	Yes	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

Share Holding Pattern

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	6	78211953			78211953	53.25	78211953		78211953	53.25
(B)	Public	206842	68651603			68651603	46.75	68651603		68651603	46.75
(C)	Non-Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	206848	146863556			146863556	100	146863556		146863556	100

Share Holding Pattern

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				53.25	78211953	100			78211953
(B)	Public				46.75	5437027	7.92			62946344
(C)	Non-Promoter-Non-Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100	83648980	56.96			141158297

Share Holding Pattern

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV) + (V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Total as a % of Total Voting rights
								No of Voting (XIV) Rights			
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	5	60263169			60263169	41.03	60263169		60263169	41.03
(d)	Any Other (specify)	1	17948784			17948784	12.22	17948784		17948784	12.22
Sub-Total (A)(1)		6	78211953			78211953	53.25	78211953		78211953	53.25
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		6	78211953			78211953	53.25	78211953		78211953	53.25
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	13	9641820			9641820	6.57	9641820		9641820	6.57
(c)	Alternate Investment Funds	2	296645			296645	0.2	296645		296645	0.2

Share Holding Pattern

(e)	Foreign Portfolio Investors	26	10378996			10378996	7.07	10378996		10378996	7.07
(f)	Financial Institutions/ Banks	1	42334			42334	0.03	42334		42334	0.03
(i)	Any Other (specify)	3	860690			860690	0.59	860690		860690	0.59
Sub-Total (B)(1)		45	21220485			21220485	14.45	21220485		21220485	14.45
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	203456	27614761			27614761	18.8	27614761		27614761	18.8
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	24	10775329			10775329	7.34	10775329		10775329	7.34
(e)	Any Other (specify)	3317	9041028			9041028	6.16	9041028		9041028	6.16
Sub-Total (B)(3)		206797	47431118			47431118	32.3	47431118		47431118	32.3
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		206842	68651603			68651603	46.75	68651603		68651603	46.75

Share Holding Pattern

C	Table IV - Statement showing shareholding pattern of the Non-Promoter- Non-Public shareholder											
Total (A+B+C2)		206848	146863556			146863556	100		146863556		146863556	100
Total (A+B+C)		206848	146863556			146863556	100		146863556		146863556	100

Share Holding Pattern

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				41.03	60263169	100			60263169
(d)				12.22	17948784	100			17948784
Sub-Total (A)(1)				53.25	78211953	100			78211953
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)				53.25	78211953	100			78211953
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				6.57	0	0			9641820
(c)				0.2	0	0			296645

Share Holding Pattern

(e)				7.07	0	0			10378996
(f)				0.03	0	0			42334
(i)				0.59	0	0			860690
Sub-Total (B)(1)				14.45	0	0			21220485
(3)	Non-institutions								
(a(i))				18.8	1470920	5.33			24348037
(a(ii))				7.34	2434233	22.59			10278747
(e)				6.16	1531874	16.94			7099075
Sub-Total (B)(3)				32.3	5437027	11.46			41725859
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				46.75	5437027	7.92			62946344
C	Table IV - Statement showing shareholding pattern of the Non-Promoter- Non Public shareholder								
Total (A+B+C2)				100	83648980	56.96			141158297
Total (A+B+C)				100	83648980	56.96			141158297

Share Holding Pattern

Individuals/Hindu undivided Family

Serial No.	1	2	3	4	5	
Name of the Shareholders (I)	ASHOK SOOTA	SURESH SOOTA	DEEPAK SOOTA	KUNKU SOOTA	USHA SAMUEL	
PAN (II)	ASRPS2966A	AASPS8619P	APMPS5390E	BYIPS2037N	CAMPS7381A	Total
No. of fully paid up equity shares held (IV)	60061701	31459	49063	42380	78566	60263169
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+(V)+ (VI)	60061701	31459	49063	42380	78566	60263169
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	40.9	0.02	0.03	0.03	0.05	41.03
Number of Voting Rights held in each class of securities (IX)						
Class eg:X	60061701	31459	49063	42380	78566	60263169
Class eg:y						
Total	60061701	31459	49063	42380	78566	60263169
Total as a % of Total Voting rights	40.9	0.02	0.03	0.03	0.05	41.03
No. Of Shares Underlying Outstanding convertible securities (X)						

Share Holding Pattern

No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	40.9	0.02	0.03	0.03	0.05	41.03
Number of Locked in shares (XII)						
No. (a)	60061701	31459	49063	42380	78566	60263169
As a % of total Shares held (b)	100	100	100	100	100	100
Number of Shares pledged or otherwise encumbered (XIII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	60061701	31459	49063	42380	78566	60263169
Reason for not providing PAN						
Reason for not providing PAN						
Shareholder type	Promoter	Promoter Group	Promoter Group	Promoter Group	Promoter Group	

Share Holding Pattern

Any Other (specify)		
Serial No.	1	
Category	Partnership Firms	
Name of the Shareholders (I)	ASHOK SOOTA MEDICAL RESEARCH LLP	
PAN (II)	ABRFA4499B	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	17948784	17948784
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	17948784	17948784
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	12.22	12.22
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	17948784	17948784
Class eg:y		
Total	17948784	17948784
Total as a % of Total Voting rights	12.22	12.22
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		

Share Holding Pattern

Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	12.22	12.22
Number of Locked in shares (XII)		
No. (a)	17948784	17948784
As a % of total Shares held (b)	100	100
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	17948784	17948784
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type	Promoter Group	

Share Holding Pattern

Mutual Funds				
Searial No.	1	2	3	
Name of the Shareholders (I)	L&T MUTUAL FUND TRUSTEE LIMITED-L&T EMERGING BUSINESSES FUND	SUNDARAM MUTUAL FUND A/C SUNDARAM SMALL CAP FUND	SBI MAGNUM MIDCAP FUND	
PAN (II)	AAATC4460E	AAATS2554B	AABTS6407Q	Total
No. of fully paid up equity shares held (IV)	1499711	1549825	2300000	5349536
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+(V)+ (VI)	1499711	1549825	2300000	5349536
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.02	1.06	1.57	3.64
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	1499711	1549825	2300000	5349536
Class eg:y				
Total	1499711	1549825	2300000	5349536
Total as a % of Total Voting rights	1.02	1.06	1.57	3.64
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				

Share Holding Pattern

Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.02	1.06	1.57	3.64
Number of Locked in shares (XII)				
No. (a)	0	0	0	0
As a % of total Shares held (b)	0	0	0	0
Number of equity shares held in dematerialized form (XIV)	1499711	1549825	2300000	5349536
Reason for not providing PAN				
Reason for not providing PAN				

Share Holding Pattern

Foreign Portfolio Investors			
Searial No.	1	2	
Name of the Shareholders (I)	GOVERNMENT OF SINGAPORE	GOLDMAN SACHS INDIA LIMITED	
PAN (II)	AAAJG0594R	AADCG0260C	Total
No. of fully paid up equity shares held (IV)	3774774	1505475	5280249
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+(V)+ (VI)	3774774	1505475	5280249
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.57	1.03	3.6
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	3774774	1505475	5280249
Class eg:y			
Total	3774774	1505475	5280249
Total as a % of Total Voting rights	2.57	1.03	3.6
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			

Share Holding Pattern

Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.57	1.03	3.6
Number of Locked in shares (XII)			
No. (a)	0	0	0
As a % of total Shares held (b)	0	0	0
Number of equity shares held in dematerialized form (XIV)	3774774	1505475	5280249
Reason for not providing PAN			
Reason for not providing PAN			

Share Holding Pattern

Any Other (specify)		
Serial No.	1	
Category	Other	
Category / More than 1 percentage	Category	Click here to go back
Name of the Shareholders (I)	Qualified Institutional Buyer	
PAN (II)		Total
No. of the Shareholders (I)	3	3
No. of fully paid up equity shares held (IV)	860690	860690
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	860690	860690
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.59	0.59
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	860690	860690
Class eg:y		
Total	860690	860690
Total as a % of Total Voting rights	0.59	0.59
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		

Share Holding Pattern

No. Of Shares Underlying Outstanding convertible securities and Warrants (X)		
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.59	0.59
Number of Locked in shares (XII)		
No. (a)	0	0
As a % of total Shares held (b)	0	0
Number of equity shares held in dematerialized form (XIV)	860690	860690
Reason for not providing PAN		
Reason for not providing PAN		

Share Holding Pattern

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.

Serial No.	1	
Name of the Shareholders (I)	NUGGEHALLI KRISHNAMACHARYA SRIRANGANARAYANAN	
PAN (II)	AABTH0743E	Total
No. of fully paid up equity shares held (IV)	5080252	5080252
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	5080252	5080252
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.46	3.46
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	5080252	5080252
Class eg:y		
Total	5080252	5080252
Total as a % of Total Voting rights	3.46	3.46
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		

Share Holding Pattern

Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.46	3.46
Number of Locked in shares (XII)		
No. (a)	0	0
As a % of total Shares held (b)	0	0
Number of equity shares held in dematerialized form (XIV)	5080252	5080252
Reason for not providing PAN		
Reason for not providing PAN		

Share Holding Pattern

Any Other (specify)							
Serial No.	1	2	3	4	5	6	7
Category	Trusts	Non-Resident Indian (NRI)	Clearing Members	Director or Director's Relatives	Others	Bodies Corporate	Foreign Nationals
Category / More than 1 percentage	Category	Category	Category	Category	Category	Category	Category
Name of the Shareholders (I)					NON RESIDENT INDIAN NON REPATRIABLE		
PAN (II)							
No. of the Shareholders (I)	4	1863	246	3	746	453	2
No. of fully paid up equity shares held (IV)	8204	2703200	606828	1267137	569179	3885334	1146
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+(V)+ (VI)	8204	2703200	606828	1267137	569179	3885334	1146
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.01	1.84	0.41	0.86	0.39	2.65	0
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	8204	2703200	606828	1267137	569179	3885334	1146

Share Holding Pattern

Class eg:y							
Total	8204	2703200	606828	1267137	569179	3885334	1146
Total as a % of Total Voting rights	0.01	1.84	0.41	0.86	0.39	2.65	0
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.01	1.84	0.41	0.86	0.39	2.65	0
Number of Locked in shares (XII)							
No. (a)	0	823965	0	342137	181256	184516	0
As a % of total Shares held (b)	0	30.48	0	27	31.85	4.75	0
Number of equity shares held in dematerialized form (XIV)	8204	949247	606828	1079137	569179	3885334	1146
Reason for not providing PAN							
Reason for not providing PAN							

Share Holding Pattern

Any Other (specify)		
Serial No.	8	
Category	Bodies Corporate	
Category / More than 1 percentage	More than 1 percentage of shareholding	
Name of the Shareholders (I)	PLUTUS WEALTH MANAGEMENT LLP	Click here to go back
PAN (II)	AATFP4879R	Total
No. of the Shareholders (I)	1	3317
No. of fully paid up equity shares held (IV)	3000000	9041028
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	3000000	9041028
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.04	6.16
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	3000000	9041028
Class eg:y		
Total	3000000	9041028
Total as a % of Total Voting rights	2.04	6.16
No. Of Shares Underlying Outstanding convertible securities (X)		

Share Holding Pattern

No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.04	6.16
Number of Locked in shares (XII)		
No. (a)	0	1531874
As a % of total Shares held (b)	0	16.94
Number of equity shares held in dematerialized form (XIV)	3000000	7099075
Reason for not providing PAN		
Reason for not providing PAN		

Share Holding Pattern

Significant beneficial owners

Sr. No.	Details of the SBO					Details of the registered owner					Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*:					Date of creation / acquisition of significant beneficial interest
	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:					
											Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
1	ASHOK SOOTA	ASRPS2966A		India		ASHOK SOOTA MEDICAL RESEARCH LLP	ABRFA4499B		India		12.22	12.22	12.22	No	No	26-05-2020
Total:											12.22	12.22	12.22			