

Share Holding Pattern

General Company Information

Scrip code	543227
NSE Symbol	HAPPSTMNDS
MSEI Symbol	
ISIN	INE419U01012
Name of the company	HAPPIEST MINDS TECHNOLOGIES LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2020
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	Yes	Yes	Yes	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

Share Holding Pattern

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
A)	Promoter & Promoter Group	6	78211953			78211953	53.25	78211953		78211953	53.25
(B)	Public	175610	68651603			68651603	46.75	68651603		68651603	46.75
(C)	Non-Promoter-Non-Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	175616	146863556			146863556	100	146863556		146863556	100

Share Holding Pattern

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				53.25	78211953	100			78211953
(B)	Public				46.75	24467568	35.64			60042912
C)	Non-Promoter-Non-Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100	102679521	69.91			138254865

Share Holding Pattern

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	5	60263169			60263169	41.03	60263169		60263169	41.03
(d)	Any Other (specify)	1	17948784			17948784	12.22	17948784		17948784	12.22
Sub-Total (A)(1)		6	78211953			78211953	53.25	78211953		78211953	53.25
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		6	78211953			78211953	53.25	78211953		78211953	53.25
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	14	9495344			9495344	6.47	9495344		9495344	6.47
(c)	Alternate Investment Funds	5	1172576			1172576	0.8	1172576		1172576	0.8
(e)	Foreign Portfolio Investors	21	10583788			10583788	7.21	10583788		10583788	7.21

Share Holding Pattern

(f)	Financial Institutions/ Banks	3	140436			140436	0.1	140436		140436	0.1
Sub-Total (B)(1)		43	21392144			21392144	14.57	21392144		21392144	14.57
(3)		Non-institutions									
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	173236	24904131			24904131	16.96	24904131		24904131	16.96
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	22	11669809			11669809	7.95	11669809		11669809	7.95
(b)	NBFCs registered with RBI	1	50000			50000	0.03	50000		50000	0.03
(e)	Any Other (specify)	2308	10635519			10635519	7.24	10635519		10635519	7.24
Sub-Total (B)(3)		175567	47259459			47259459	32.18	47259459		47259459	32.18
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		175610	68651603			68651603	46.75	68651603		68651603	46.75
C		Table IV - Statement showing shareholding pattern of the Non-Promoter- Non-Public shareholder									
Total (A+B+C2)		175616	146863556			146863556	100	146863556		146863556	100
Total (A+B+C)		175616	146863556			146863556	100	146863556		146863556	100

Share Holding Pattern

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(1)	Indian								
(a)				41.03	60263169	100			60263169
(d)				12.22	17948784	100			17948784
Sub-Total (A)(1)				53.25	78211953	100			78211953
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)				53.25	78211953	100			78211953
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				6.47	7662339	80.7			9495344
(c)				0.8	915668	78.09			1172576
(e)				7.21	8115222	76.68			10583788
(f)				0.1	0	0			140436
Sub-Total (B)(1)				14.57	16693229	78.03			21392144
(3)	Non-institutions								
(a(i))				16.96	1622673	6.52			19663459
(a(ii))				7.95	2625758	22.5			10678655
(b)				0.03	0	0			50000
(e)				7.24	3525908	33.15			8258654
Sub-Total (B)(3)				32.18	7774339	16.45			38650768
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				46.75	24467568	35.64			60042912
C	Table IV - Statement showing shareholding pattern of the Non-Promoter- Non-Public shareholder								
Total (A+B+C2)				100	102679521	69.91			138254865
Total (A+B+C)				100	102679521	69.91			138254865

Share Holding Pattern

Individuals/Hindu undivided Family

Serial No.	1	2	3	4	5	
Name of the Shareholders (I)	ASHOK SOOTA	SURESH SOOTA	DEEPAK SOOTA	KUNKU SOOTA	USHA SAMUEL	
PAN (II)	ASRPS2966A	AASPS8619P	APMPS5390E	BYIPS2037N	CAMPS7381A	Total
No. of fully paid up equity shares held (IV)	60061701	31459	49063	42380	78566	60263169
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+(V)+ (VI)	60061701	31459	49063	42380	78566	60263169
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	40.9	0.02	0.03	0.03	0.05	41.03
Number of Voting Rights held in each class of securities (IX)						
Class eg:X	60061701	31459	49063	42380	78566	60263169
Class eg:y						
Total	60061701	31459	49063	42380	78566	60263169
Total as a % of Total Voting rights	40.9	0.02	0.03	0.03	0.05	41.03
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	40.9	0.02	0.03	0.03	0.05	41.03
Number of Locked in shares (XII)						
No. (a)	60061701	31459	49063	42380	78566	60263169
As a % of total Shares held (b)	100	100	100	100	100	100
Number of Shares pledged or otherwise encumbered (XIII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	60061701	31459	49063	42380	78566	60263169
Reason for not providing PAN						
Reason for not providing PAN						
Shareholder type	Promoter	Promoter Group	Promoter Group	Promoter Group	Promoter Group	

Share Holding Pattern

Any Other (specify)

Serial No.	1	
Category	Partnership Firms	
Name of the Shareholders (I)	ASHOK SOOTA MEDICAL RESEARCH LLP	
PAN (II)	ABRFA4499B	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	17948784	17948784
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	17948784	17948784
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	12.22	12.22
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	17948784	17948784
Class eg:y		
Total	17948784	17948784
Total as a % of Total Voting rights	12.22	12.22
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	12.22	12.22
Number of Locked in shares (XII)		
No. (a)	17948784	17948784
As a % of total Shares held (b)	100	100
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	17948784	17948784
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type	Promoter Group	

Share Holding Pattern

Foreign Portfolio Investors

Serial No.	1		
Name of the Shareholders (I)	GOVERNMENT OF SINGAPORE		
PAN (II)	AAAJG0594R		Total
No. of fully paid up equity shares held (IV)		2057976	2057976
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+(V)+ (VI)		2057976	2057976
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)		1.4	1.4
Number of Voting Rights held in each class of securities (IX)			
Class eg: X		2057976	2057976
Class eg:y			
Total		2057976	2057976
Total as a % of Total Voting rights		1.4	1.4
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)		1.4	1.4
Number of Locked in shares (XII)			
No. (a)		1084320	1084320
As a % of total Shares held (b)		52.69	52.69
Number of equity shares held in dematerialized form (XIV)		2057976	2057976
Reason for not providing PAN			
Reason for not providing PAN			

Share Holding Pattern

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.

Serial No.	1		
Name of the Shareholders (I)	NUGGEHALLI KRISHNAMACHARYA SRIRANGANARAYANAN		
PAN (II)	AABTH0743E		Total
No. of fully paid up equity shares held (IV)		5701307	5701307
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+(V)+ (VI)		5701307	5701307
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)		3.88	3.88
Number of Voting Rights held in each class of securities (IX)			
Class eg: X		5701307	5701307
Class eg:y			
Total		5701307	5701307
Total as a % of Total Voting rights		3.88	3.88
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)		3.88	3.88
Number of Locked in shares (XII)			
No. (a)		0	0
As a % of total Shares held (b)		0	0
Number of equity shares held in dematerialized form (XIV)		5701307	5701307
Reason for not providing PAN			
Reason for not providing PAN			

Share Holding Pattern

Any Other (specify)

Serial No.	1	2	3	4	5	6	7
Category	Trusts	Non-Resident Indian (NRI)	Clearing Members	Director or Director's Relatives	Others	Bodies Corporate	Bodies Corporate
Category / More than 1 percentage	Category	Category	Category	Category	Category	Category	More than 1 percentage of shareholding
Name of the Shareholders (I)					QIB and Non-Resident Indian Non Repatriable		PLUTUS WEALTH MANAGEMENT LLP
PAN (II)							AATFP4879R
No. of the Shareholders (I)	4	1136	323	1	430	413	1
No. of fully paid up equity shares held (IV)	5508	2858419	1389993	500000	2747778	3133208	1900000
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+(V)+ (VI)	5508	2858419	1389993	500000	2747778	3133208	1900000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	1.95	0.95	0.34	1.87	2.13	1.29
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	5508	2858419	1389993	500000	2747778	3133208	1900000
Class eg:y							
Total	5508	2858419	1389993	500000	2747778	3133208	1900000
Total as a % of Total Voting rights	0	1.95	0.95	0.34	1.87	2.13	1.29
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0	1.95	0.95	0.34	1.87	2.13	1.29
Number of Locked in shares (XII)							
No. (a)	0	823965	0	0	2517427	184516	0

Share Holding Pattern

As a % of total Shares held (b)	0	28.83	0	0	91.62	5.89	0
Number of equity shares held in dematerialized form (XIV)	5508	481554	1389993	500000	2747778	3133208	1900000
Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)

Serial No.	8	
Category	Foreign Nationals	
Category / More than 1 percentage	Category	
Name of the Shareholders (I)		
PAN (II)	Total	
No. of the Shareholders (I)	1	2308
No. of fully paid up equity shares held (IV)	613	10635519
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	613	10635519
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	7.24
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	613	10635519
Class eg:y		
Total	613	10635519
Total as a % of Total Voting rights	0	7.24
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0	7.24
Number of Locked in shares (XII)		
No. (a)	0	3525908
As a % of total Shares held (b)	0	33.15
Number of equity shares held in dematerialized form (XIV)	613	8258654
Reason for not providing PAN		
Reason for not providing PAN		

Significant beneficial owners

Sr. No	Details of the SBO					Details of the registered owner					Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*:					Date of creation / acquisition of significant beneficial interest
	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:					
											Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
1	ASHOK SOOTA	ASRPS2966A		India		ASHOK SOOTA MEDICAL RESEARCH LLP	ABRFA4499B		India		12.22	12.22	12.22	No	No	26-05-2020
										Total:	12.22	12.22	12.22			